

Hyperbaric oxygen therapy improves neurocognitive functions of post-stroke patients – a retrospective analysis

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Abstract.

Background: Previous studies have shown that hyperbaric oxygen therapy (HBOT) can improve the motor functions and memory of post-stroke patients in the chronic stage.

Objective: The aim of this study is to evaluate the effects of HBOT on overall cognitive functions of post-stroke patients in the chronic stage. The nature, type and location of the stroke were investigated as possible modifiers.

Methods: A retrospective analysis was conducted on patients who were treated with HBOT for chronic stroke (>3 months) between 2008-2018. Participants were treated in a multi-place hyperbaric chamber with the following protocols: 40 to 60 daily sessions, 5 days per week, each session includes 90 min of 100% oxygen at 2 ATA with 5 min air brakes every 20 minutes. Clinically significant improvements (CSI) were defined as >0.5 standard deviation (SD).

Results: The study included 162 patients (75.3% males) with a mean age of 60.75 ± 12.91. Of them, 77(47.53%) had cortical strokes, 87(53.7%) strokes were located in the left hemisphere and 121 suffered ischemic strokes (74.6%).

HBOT induced a significant increase in all the cognitive function domains ($p < 0.05$), with 86% of the stroke victims achieving CSI. There were no significant differences post-HBOT of cortical strokes compared to sub-cortical strokes ($p > 0.05$). Hemorrhagic strokes had a significantly higher improvement in information processing speed post-HBOT ($p < 0.05$). Left hemisphere strokes had a higher increase in motor domain ($p < 0.05$). In all cognitive domains, the baseline cognitive function was a significant predictor of CSI ($p < 0.05$), while stroke type, location and side were not significant predictors.

Conclusions: HBOT induces significant improvements in all cognitive domains even in the late chronic stage. The selection of post-stroke patients for HBOT should be based on functional analysis and baseline cognitive scores rather than the stroke type, location or side of lesion.

Keywords: HBOT, stroke, cognitive function, hyperbaric oxygen

1. Introduction

Stroke is the second-most cause of mortality and the third leading cause for disability, worldwide (Langhorne, Bernhardt, & Kwakkel, 2011; Lozano et al., 2012; Ojaghihaghghi, Vahdati, Mikaeilpour, & Ramouz, 2017; Ottenbacher & Jannell, 1993; Powers

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et al., 2018). When strokes transpire, whether they are ischemic or hemorrhagic, the injured brain region correlates with its related loss of function which may be visual, motor, sensory or cognitive impairments. Most stroke studies focus on motor functions. However, it is estimated that nearly half of the survivors suffer from different degrees of cognitive dysfunction (Kelly-Hayes et al., 2003; Lee, Joshi, Wang, Pashos, & Christensen, 2007; Yoneda et al., 2005).

The two leading subtypes of stroke are ischemic stroke, in 68% of the cases, and the less frequent hemorrhagic stroke, in 32% of the cases (Caplan, 1989; Krishnamurthi et al., 2013; Powers et al., 2018; Zhang, Lo, Mychaskiw, & Colohan, 2005). Even though the two pathophysiological processes are diametrically opposed during the initiation phase, in the subacute chronic phase they culminate in comprised blood supply and subsequent brain ischemia (Caplan, 1989; Krishnamurthi et al., 2013; Powers et al., 2018). When the insult results in cognitive dysfunction, usually more than one cognitive domain is involved such as memory, attention and visual spatial (VS) (Al-Qazzaz, Ali, Ahmad, Islam, & Mohamad, 2014; Cumming, Marshall, & Lazar, 2013). The significant factors that effect the cognitive impairments' severity are older age, previous history of stroke, and the pre-injury global cognitive function (GCF) (Ballard, Rowan, Stephens, Kalaria, & Kenny, 2003; Mok et al., 2004; Patel, Coshall, Rudd, & Wolfe, 2003; Rasquin, Verhey, van Oostenbrugge, Lousberg, & Lodder, 2004). It has been shown that hemorrhagic strokes cause significantly more cognitive impairments compared to ischemic strokes, and are more associated with cognitive deficits across multiple domains (Cumming et al., 2013). Cortical strokes were found with higher proportions of cognitive impairments in the memory domain than subcortical ones (Lange, Waked, Kirshblum, & DeLuca, 2000; Nys et al., 2007; Schouten, Schie-manck, Brand, & Post, 2009). Yet, higher cortical functions such as expressive aphasia were significantly impaired in subcortical stroke patients as well as lower performances in the information processing speed (IPS) domain compared with cortical stroke patients (Lange et al., 2000; Nys et al., 2007; T. Wagner & A. Cushman, 2017). With respect to dominant vs. non-dominant hemispheric lesion, there is evidence of a more severe cognitive impairments and an overall higher incidence of dementia following an insult in the dominant hemisphere (Censori et al., 1996; de Oliveira, Correia Marin Sde, & Ferreira Bertolucci, 2013; Tatemichi et al., 1993).

Reducing the impact of post-stroke cognitive impairment is an important goal due to the higher mortality and institutionalization rates of those patients (Pasquini, Leys, Rousseaux, Pasquier, & Henon, 2007; Tatemichi et al., 1994). Rehabilitation includes a multidisciplinary approach which includes physiotherapy, speech and language therapy, cognitive rehabilitation therapy, medications and more. However, these programs have limited success (Hebert et al., 2016; Prvu Bettger & Stineman, 2007; Roine, Kajaste, & Kaste, 1993; Williams, Jiang, Matchar, & Samsa, 1999). Cognitive recovery after stroke occurs mainly within the first 30 days, with some post-stroke patients continuing to gain progress up to three months from injury, yet even with domain specific interventions, improvement is minimal (Langhorne et al., 2011; Maulden, Gassaway, Horn, Smout, & DeJong, 2005; Ovbiagele & Nguyen-Huynh, 2011).

Hyperbaric oxygen therapy (HBOT), the application of hyperbaric pressure in conjunction with increased oxygen content, has been shown in several clinical studies to have the capacity to induce neuroplasticity even years after an acute insult (Boussi-Gross et al., 2013; Boussi-Gross et al., 2015; Efrati & Ben-Jacob, 2014; Efrati et al., 2013; Efrati et al., 2015; Hadanny & Efrati, 2016; Hadanny, Fishlev, Bechor, Meir, & Efrati, 2016; Hadanny et al., 2015a, 2015b; Tal et al., 2015a, 2015b; Tal, Hadanny, Sasson, Suzin, & Efrati, 2017; Yildiz et al., 2004). The elevated oxygen concentration in the blood and injured tissue during treatment (Calvert, Cahill, & Zhang, 2007; Niklas, Brock, Schober, Schulz, & Schneider, 2004; Reinert et al., 2003) helps supply the energy needed to regenerate damaged brain tissue. It has been shown that HBOT induced neuroplasticity is mediated by stimulating cell proliferation (Mu et al., 2013), neurogenesis of endogenous neural stem cells (Yang et al., 2008), regeneration of axonal white matter (Chang et al., 2009), improved maturation and myelination of injured neural fibers (Haapaniemi, Nylander, Kanje, & Dahlin, 1998; Vilela, Lazarini, & Da Silva, 2008), and stimulation of axonal growth, thus increasing the ability of neurons to function and communicate with each other (Bradshaw, Nelson, Fanton, Yates, & Kagan-Hallet, 1996; Mukoyama, Iida, & Sobue, 1975). A retrospective analysis of post-stroke patients in the late chronic stage revealed that HBOT can significantly improve the memory domain (Boussi-Gross et al., 2015). However, the overall neurocognitive effects of HBOT and its

142 relation to the different stroke types and anatomical
143 locations were not investigated yet.

144 The aim of the current study is to investigate the
145 effects of HBOT on the overall cognitive domains
146 of post-stroke patients in the late chronic stage. The
147 nature, type and location of the stroke as possible
148 modifiers of HBOT effects were also investigated.

149 2. Methods

150 2.1. Participants

151 A retrospective study including post-stroke
152 patients, more than three months post-injury, treated
153 with HBOT between January 2008 and December
154 2017. The study was approved by our Institutional
155 Review Board (approval number: 0206-17-ASF).

156 **Inclusion criteria:** stroke more than three months
157 prior to their first cognitive evaluation, completion
158 of 40 or 60 hyperbaric oxygen sessions and at least
159 two cognitive evaluations, 1–3 weeks prior to the first
160 HBOT session to and 1–3 weeks after last HBOT
161 session.

162 **Exclusion criteria:** insufficient details of stroke
163 nature, history of a potential additional brain injury
164 (traumatic brain injury, anoxic brain injury, subarach-
165 noid hemorrhage, etc.), lack of pre or post-HBOT
166 cognitive evaluations.

167 2.2. Study design

168 The data were collected retrospectively from
169 patients' medical records and included age, gen-
170 der, level of education, handedness, stroke details
171 (type, injured hemisphere, location of stroke, time
172 from injury to HBOT, symptoms prior to treatment),
173 number of HBOT sessions, chronic medical condi-
174 tions (diabetes mellitus type II (DM II), hypertension
175 (HTN), dyslipidemia, ischemic heart disease (IHD),
176 previous stroke, smoking status), and chronic pre-
177 scribed medications (anti-aggregation (AA)), statins,
178 hypoglycemic medications, HTN medications). Data
179 of the HBOT protocol, and adverse events were also
180 collected.

181 The main analysis was to compare the stroke nature
182 (hemorrhagic and ischemic) from all stroke locations:
183 cortical, subcortical, atypical locations (i.e. cerebel-
184 lum or brain stem) and multiple locations. A second
185 analysis (i.e. the location analysis) compared the two
186 main stroke locations, cortical and subcortical. To
187 minimize unknown hemisphere dominance in left

188 handed patients, a third analysis (i.e. the dominance
189 analysis) included only the right-handed patients for
190 evaluating the effect of the injured hemisphere.

191 2.3. Stroke subsets

192 Patients were divided into different groups based
193 on their stroke prerequisites, retrieved from original
194 imaging and medical records: by anatomical location:
195 cortical (i.e. frontal, temporal, parietal and occipital
196 cortex) or subcortical (i.e. basal ganglia (BG), cere-
197 bellum, pons, internal capsule and thalamus), by the
198 injured hemisphere: right or left, and by stroke type:
199 ischemic or hemorrhagic (See Fig. 1).

200 2.4. Hyperbaric oxygen treatment

201 Participants were treated in a multi-place hyper-
202 baric chamber (Haux-Life-Support GmbH, Ger-
203 many) with the following protocols: 40 to 60 daily
204 sessions, 5 days per week, each session includes
205 90 min of 100% oxygen at 2 ATA with 5 min air
206 breaks every 20 minutes.

207 2.5. Cognitive evaluation

208 All the patients were inspected using the
209 NeuroTrax computerized cognitive testing battery
210 (NeuroTrax Corporation, Bellaire, TX). The Neu-
211 roTrax system and a detailed description of the
212 tests included were detailed in previous publica-
213 tions (Achiron et al., 2013; Thaler et al., 2012; Zur,
214 Naftaliev, & Kesler, 2014) and are also available on
215 the NeuroTrax website. In brief, NeuroTrax tests eval-
216 uate multiple aspects of brain cognitive functions
217 including: memory, executive function (EF), visu-
218 ospatial skills (VS), verbal function (VF), attention,
219 information processing speed (IPS) and motor skills
220 (MS). Cognitive domain scores were normalized for
221 age, gender and education-specific levels.

222 The participants completed two validated alternate
223 test forms of the NeuroTrax test battery at baseline
224 and post-HBOT, to allow for iterative administrations
225 with minimal learning effects. Test-retest reliability
226 of the tests were found to be high in both normal
227 and injured populations, without significant learning
228 effects except in the VF & VS domains (Dwolatzky et
229 al., 2003; L. Melton, 2005). Due to the low test-retest
230 reliability of these domains, they were not evaluated
in the current study.

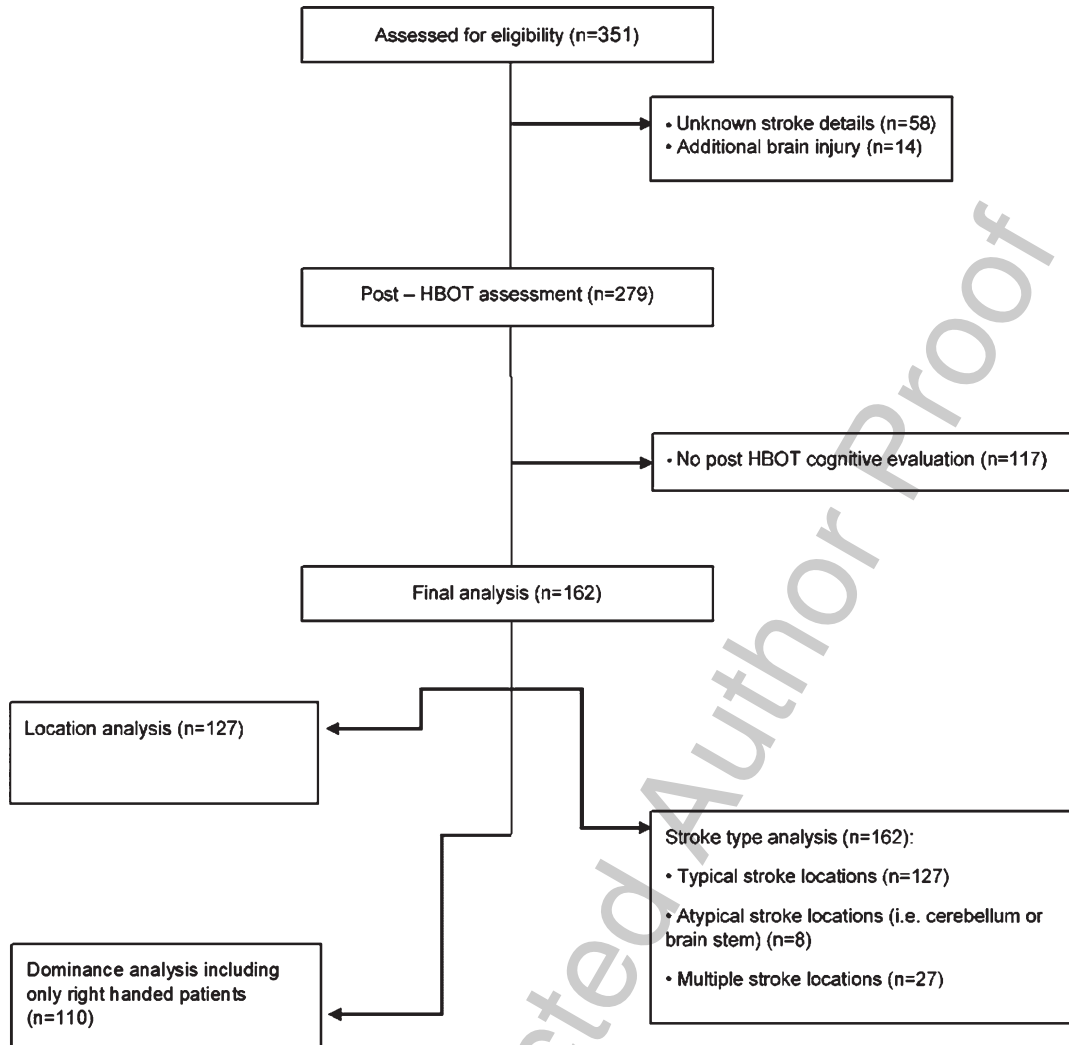


Fig. 1. Flowchart of the patients included in the study.

2.6. Statistical analysis

Data were expressed as mean \pm SD for parametric variables and frequencies, and percentages for nonparametric variables. Parametric variables were analyzed by paired-sample T tests for intra-group comparison and independent-sample t-tests for inter-group comparison, whereas nonparametric variables were analyzed by Pearson Chi-square test or Fisher's exact test (where suitable) to identify significant variables. Normal distribution for all continuous variables was tested using the Kolmogorov-Smirnov test.

Clinically significant improvement (CSI) was defined as an absolute increase of 7.5 points of the normalized score (0.5 of one standard deviation) in

at least one cognitive domain. The cut-off for CSI was determined by previous studies (Fischer et al., 2000; Schwid, Goodman, Weinstein, McDermott, & Johnson, 2007).

Multiple linear regression models were performed to determine independent predictors for the post-treatment cognitive score. Multivariate logistic regression models were performed to control for potential confounders and to determine independent predictors for CSI. Models included the following covariates: age, sex, stroke type, location of stroke along with side of injured hemisphere, time from injury to HBOT, chronic medical conditions (DM II, HTN, dyslipidemia, IHD, active smoking), number of HBOT sessions and baseline score before HBOT treatment.

The alpha level was set to 0.05 (p -Value<0.05). The data were statistically analyzed using SPSS version 22 software.

3. Results

3.1. Participants' characteristics

Of the 351 patients who were assessed for eligibility, a total of 162 met the inclusion criteria and were included in the final analysis (Fig. 1). The patients' average age was 60.75 ± 12.91 years old (23–83) and 122 (75.3%) were males. The average time from the stroke to HBOT was 2.78 ± 3.3 years. Regarding the stroke type, 121 patients (74.69%) suffered from an ischemic stroke while 41 (25.31%) had a hemorrhagic stroke. In 50 patients (30.86%), the stroke was in the subcortical level, while 77 patients (47.53%) had a stroke in the cortical level and the remaining 35 patients (21.6%) were affected in atypical locations or multiple locations. With respect to the side

of injury, 87 strokes (53.7%) were located in the right hemisphere, and 62 strokes (38.3%) were in the left hemisphere. Baseline participant characteristics are summarized in Table 1.

3.2. Cognitive function changes

Basic analysis results revealed statistically significant improvements of all the cognitive domains after HBOT by 2.34-20 ($p < 0.05$, see Table 2). The memory domain had the most prominent improvements of mean absolute change (MAC) (6.19 ± 20 , $p = 0.0004$, see Table 2). CSI was achieved in 86% of the patients in the entire cohort (see Fig. 4). The effects of the HBOT on the cognitive scores is summarized in Table 2.

3.2.1. Ischemic vs. hemorrhagic

At baseline, there were significant differences in baseline characteristics between patients with ischemic compared to patients with hemorrhagic stroke which included age, presence of comorbidities,

Table 1
Patients' baseline characteristics

Analysis		Entire cohort ($n = 162$)	Location analysis ($n = 127$)	Dominance analysis ($n = 110$)
	Age (years)	$60.75 \pm 12.91^*$	$60.86 \pm 12.57^*$	$61.23 \pm 12.3^*$
	Sex – Males	122 (75.3%)	97 (76.4%)	78 (70.9%)
	Dominant hand – Right	120 (74.1%)	94 (74%)	110 (100%)
	Time from injury	$2.78 \pm 3.3^*$	$2.53 \pm 2.95^*$	$2.63 \pm 3.18^*$
Num. of HBOT sessions	40 sessions	26 (16%)	22 (17.3%)	20 (18.2%)
	60 sessions	136 (84%)	105 (82.7%)	90 (81.8%)
Type of stroke	Ischemic	121 (74.69%)	98 (77.17%)	85 (77.3%)
	Hemorrhagic	41 (25.31%)	29 (22.8%)	25 (22.7%)
Location of injury	Subcortical	54 (33.3%)	50 (39.4%)	36 (32.7%)
	Cortical	80 (49.4%)	77 (60.6%)	58 (52.7%)
	Atypical & multiple locations	28 (17.3)	–	16 (14.5%)**
Side of injury	Right	62 (38.3%)	53 (41.7%)	56 (50.9%)
	Left	87 (53.7%)	74 (58.3%)	54 (49.1%)
	Bilateral	13 (8%)	–	–
Symptoms	Cognitive	77 (47.5%)	60 (47.2%)	49 (44.5%)
	Motor	132 (81.5%)	104 (81.9%)	90 (81.8%)
	Speech	65 (40.1%)	54 (42.5%)	43 (39.1%)
	CN	67 (41.4%)	54 (42.5%)	46 (41.8%)
	Ataxia	57 (35.2%)	39 (30.7%)	34 (30.9%)
Comorbidities	DM II	48 (29.6%)	37 (29.1%)	28 (25.5%)
	HTN	107 (66%)	82 (64.6%)	74 (67.3%)
	Dyslipidemia	107 (66%)	82 (64.6%)	75 (68.2%)
	IHD	39 (24.1%)	30 (23.6%)	28 (25.5%)
	Previous stroke	18 (11.1%)	12 (9.4%)	10 (9.1%)
Medications	Smoker	29 (17.9%)	23 (18.1%)	15 (13.6%)
	AA	105 (64.8%)	78 (61.4%)	70 (63.6%)
	Statins	104 (64.2%)	79 (62.2%)	72 (65.5%)
	DM II medications	37 (22.8%)	27 (21.3%)	20 (18.2%)
	HTN medications	107 (66%)	84 (66.1%)	74 (67.3%)

*Data are expressed as means \pm standard deviation. **Cerebellum insult only. HBOT – hyperbaric oxygen treatment, CN – cranial nerves, DM II – diabetic mellitus type 2, HTN – hypertension, AA – anti-aggregates.

Table 2
Cognitive domains – mean absolute changes of the entire cohort

	Pre MAC	Post MAC	Pre-Post MAC	P-value**
GCF	87.48 ± 12.26*	91.14 ± 12.10*	3.53 ± 7.68*	<0.0001
Memory	82.09 ± 19.32*	88.29 ± 19.15*	6.12 ± 15.46*	<0.0001
EF	88.61 ± 14.15*	91.09 ± 12.65*	2.54 ± 10.37*	0.003
Attention	85.19 ± 17.08*	87.83 ± 15.75*	2.95 ± 12.63*	0.04
IPS	83.54 ± 15.45*	86.34 ± 17.07*	2.34 ± 9.28*	0.005
MS	91.91 ± 17.13*	95.21 ± 15.89*	3.96 ± 14.27*	0.001

*Data are expressed as means ± standard deviation. **Significant by two-tailed paired t-test. Bold text marks statistical significance ($P < 0.05$). GCF – global cognitive function, EF – executive function, IPS – information processing speed, MS – motor skills.

Table 3
Baseline characteristics comparison of patients with ischemic and hemorrhagic strokes

Main analysis	Ischemic (n = 121)	Hemorrhagic (n = 41)	P-value**	
Age (years)	62.78 ± 12.3*	54.77 ± 12.97*	0.001	
Sex – males	90 (74.4%)	32 (78%)	0.64	
Dominant hand – right	91 (75.2%)	29 (70.7%)	0.575	
Time from injury	2.82 ± 3.52*	2.61 ± 2.61*	0.71	
Location of injury	Subcortical	16 (39%)	0.138	
	Cortical	65 (53.7%)		
	Atypical & multiple locations	18 (14.9)		
Side of injury	Right	21 (51.2%)	0.524	
	Left	47 (38.8%)		
	Bilateral	8 (6.6%)		
Symptoms	Cognitive	24 (58.5%)	0.104	
	Motor	34 (82.9%)		
	Speech	20 (48.8%)		
	CN	15 (36.6%)		
Comorbidities	Ataxia	17 (41.5%)	0.333	
	DM II	8 (19.5%)		
	HTN	22 (53.7%)		
	Dyslipidemia	90 (74.4%)		17 (41.5%)
	IHD	35 (28.9%)		4 (9.8%)
Medications	Previous stroke	3 (7.3%)	0.374	
	Smoker	4 (9.8%)		
	AA	13 (31.7%)		0.0001>
	Statins	18 (43.9%)		0.003
	DM II medications	6 (14.6%)		0.113
	HTN medications	22 (53.7%)		0.068

*Data are expressed as means ± standard deviation. **Significant by two-tailed paired t-test. Bold text marks statistical significance ($P < 0.05$). CN – cranial nerves, DM II – diabetic mellitus type 2, HTN – hypertension, AA – anti-aggregates.

dyslipidemia and IHD, and medications prescribed (AA and statins) ($p < 0.05$, see Table 3). In addition, the memory domain mean score of the ischemic stroke patients was significantly higher at baseline, compared to hemorrhagic stroke patients (83.87 vs 76.82, $p = 0.043$, Table 4).

Post-HBOT, the IPS domain had a significantly higher MAC in the hemorrhagic stroke patients compared to the ischemic stroke patients (5.39 vs. 1.36, $p = 0.035$, see Fig. 2). There were no other significant differences in the surplus of the cognitive domains ($p > 0.05$, see Fig. 2). In addition, there were no significant changes in the CSI between hemorrhagic stroke patients compared to ischemic stroke patients (94.6% vs. 83.33%, $p > 0.05$, see Fig. 4).

3.2.2. Cortical vs. subcortical

At baseline, there were significant differences in speech symptoms and presence of HTN between patients with subcortical strokes compared to cortical stroke ($p < 0.05$, see Table 5).

Compared to cortically located strokes, the EF & attention domains at baseline were significantly higher in the subcortically located strokes (92.37 vs. 85.19, $p = 0.009$, 88.44 vs. 80.78, $p = 0.012$, respectively, see Table 4). There were no other significant differences in cognitive domains ($p > 0.05$, see Table 4).

Post-HBOT, there were no significant differences between patients with subcortical strokes compared to cortical strokes ($p > 0.05$, see Supplementary

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Table 4
Pre-hyperbaric oxygen treatment cognitive domains scores

	Ischemic/hemorrhagic analysis			Location analysis			Dominance analysis		
	Ischemic	Hemorrhagic	P - Value**	Subcortical	Cortical	P - Value**	Rt. Injury	Lt. Injury	P - Value**
GCF	88.18 ± 12.52*	85.42 ± 11.36*	0.214	88.76 ± 11.26*	84.95 ± 13.53*	0.1	88.81 ± 11.77*	87.8 ± 14.28*	0.686
Memory	83.87 ± 18.45*	76.82 ± 21.06*	0.043	81.68 ± 18.4*	80.72 ± 20.18*	0.788	87.15 ± 18.9*	81.96 ± 18.94*	0.153
EF	88.6 ± 14.26*	88.63 ± 13.98*	0.992	92.37 ± 13.61*	85.19 ± 15.11*	0.009	89.68 ± 14.69*	90.29 ± 15.14*	0.834
VS	97.2 ± 17.07*	95.48 ± 15.17*	0.586	98.6 ± 16.44*	94.93 ± 16.46*	0.24	95.34 ± 17.87*	96.18 ± 16.47*	0.806
Attention	85.65 ± 17.54*	83.8 ± 15.73*	0.56	88.44 ± 14.02*	80.8 ± 19.57*	0.012	84.93 ± 17.57*	86.33 ± 18.33*	0.685
IPS	84.68 ± 15.7*	79.66 ± 14.09*	0.106	83.55 ± 14.22*	82.7 ± 16.61*	0.28	85.24 ± 16.99*	81.21 ± 14.07*	0.2
MS	91.56 ± 17.79*	92.93 ± 15.15*	0.667	92.79 ± 16.25*	89.69 ± 19.58*	0.362	91.9 ± 15.48*	90.18 ± 18.59*	0.608

*Data are expressed as means ± standard deviation. **Significant by two-tailed paired t-test. Bold text marks statistical significance ($P < 0.05$). GCF – global cognitive function, EF – executive function, VS – visual spatial, IPS – information processing speed, MS – motor skills.

Fig. I). Moreover, there were no significant changes in the CSI between subcortical strokes compared to cortical strokes (90% vs. 87.23%, $p > 0.05$, see Fig. 4).

3.2.3. Dominant vs. non-dominant hemisphere

Including only right-handed patients, at baseline, there were significant differences in speech and motor symptoms between patients with left dominant hemisphere strokes compared to right non-dominant hemisphere strokes ($p < 0.05$, see Table 6). There were no significant differences at baseline cognitive function between the dominant and non-dominant hemisphere strokes ($p > 0.05$, see Table 4).

Post-HBOT, there were significantly larger increases in MAC in the motor domains for patients with left hemisphere strokes compared to right hemisphere strokes (8.02 vs. 1.42, $p = 0.023$, see Fig. 3). There were no other significant differences for the surplus cognitive domains ($p > 0.05$, see Fig. 3).

There were no significant changes in the CSI between left dominant hemisphere strokes compared to right non-dominant hemisphere stroke patients (90.57% vs. 76.47%, $p > 0.05$, see Fig. 4).

3.3. Cognitive scores outcome predictors

Forward stepwise multivariate linear regression models were performed on the entire cohort as well as on the location and dominance cohorts. The only major statistically significant predictor on the post-HBOT score in all of the domains and analyses was the baseline cognitive domain score. Age, gender, handedness, stroke details (type, injured hemisphere, location, time from injury to HBOT), number of HBOT sessions, chronic medical conditions (DM II, HTN, dyslipidemia, IHD, previous stroke), smoking status, chronic prescribed medications (AA, statins, DM II medications, HTN medications) had no effect in most domains.

HTN was a significant predictor on post-HBOT score in the GCS for the dominance analysis only, and the number of HBOT sessions was a significant predictor on post-HBOT in the EF domain for all analyses.

Forward stepwise multivariate logistic regression models were performed on the three different analyses, to evaluate significant predictors for CSI percentage. Low baseline cognitive memory domain score was the only statistically significant predictor on the CSI prevalence in the main and location analyses (OR = 0.94 ([0.909–0.972]), $p < 0.0003$),

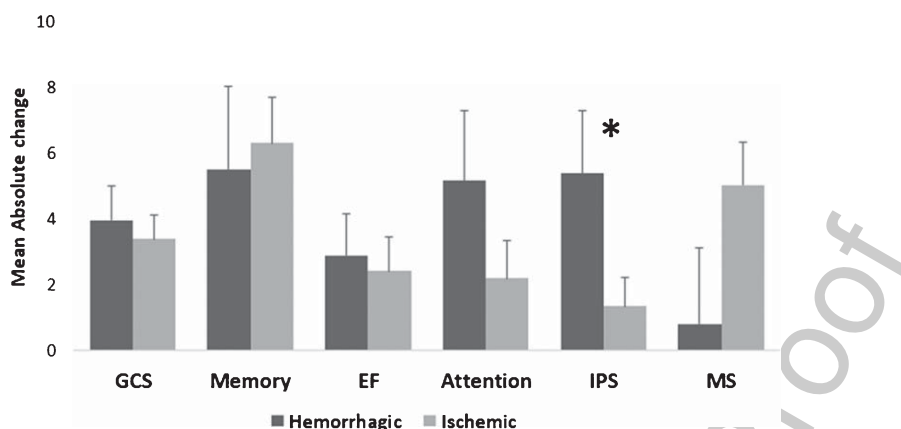


Fig. 2. Hemorrhagic/ischemic stroke MAC comparison of cognitive scores post-HBOT. Only the IPS domain was significantly increased after HBOT for the hemorrhagic stroke patients. Statistical significance ($p < 0.05$) is marked by *. Bars represent means+standard deviation. Abbreviations: MAC – mean absolute change, HBOT – hyperbaric oxygen treatment, GCS – global cognitive scale, EF – executive function, IPS – information processing speed, MS – motor skills.

Table 5
Baseline characteristics comparison of patients with cortical and subcortical strokes

Location analysis		Subcortical (n = 50)	Cortical (n = 77)	P-value**
	Age (years)	62.31 ± 11.28*	59.91 ± 13.32*	0.296
	Sex – males	42 (84%)	55 (71.4%)	0.091
	Dominant hand – right	36 (72%)	58 (75.3%)	0.679
	Time from injury	2.58 ± 2.87*	2.51 ± 3*	0.895
Type of stroke	Ischemic	35 (70%)	63 (81.8%)	0.121
	Hemorrhagic	15 (30%)	14 (18.2%)	
Side of injury	Right	22 (44%)	31 (40.3%)	0.676
	Left	28 (56%)	46 (59.7%)	
Symptoms	Cognitive	23 (46%)	37 (48.1%)	0.823
	Motor	43 (86%)	61 (79.2%)	0.321
	Speech	15 (30%)	39 (50.6%)	0.019
	CN	20 (40%)	34 (44.2%)	0.647
	Ataxia	20 (40%)	19 (24.7%)	0.077
Comorbidities	DM II	19 (38%)	18 (23.4%)	0.087
	HTN	38 (76%)	44 (57.1%)	0.026
	Dyslipidemia	34 (68%)	48 (62.3%)	0.518
	IHD	10 (20%)	20 (26%)	0.443
	Previous stroke	7 (14%)	5 (6.5%)	0.192
Medications	Smoker	9 (18%)	14 (18.2%)	0.979
	AA	27 (54%)	51 (66.2%)	0.175
	Statins	31 (62%)	48 (62.3%)	0.97
	DM II medications	13 (26%)	14 (18.2%)	0.311
	HTN medications	36 (72%)	48 (62.3%)	0.097

*Data are expressed as means ± standard deviation. **Significant by two-tailed paired t-test. Bold text marks statistical significance ($P < 0.05$). CN – cranial nerves, DM II – diabetic mellitus type 2, HTN – hypertension, AA – anti-aggregates.

377 OR = 0.948 ([0.912–0.985, $p = 0.007$), respectively).
 378 In the dominance analysis, the low baseline cognitive
 379 memory domain score and shorter times that passed
 380 since the lesion to HBOT were the statistically signifi-
 381 cant predictors on the post-HBOT score (OR = 0.949
 382 ([0.912–0.986], $p = 0.008$), OR = 0.82 ([0.692–0.972,
 383 $p = 0.022$), respectively).

3.4. Safety

384
 385 There were twelve (7.4%) side effect reports in the
 386 entire cohort. Eight experienced barotrauma (8/162,
 387 4.93%). Barotraumias were mild and all patients fully
 388 recovered after a few days. In addition, three patients
 389 (1.85%) reported minor otalgia without objective

Table 6
Baseline characteristics comparison of patients with dominant and non-dominant strokes

Rt. handed analysis		Non-dominant (n = 56)	Dominant (n = 54)	P-value**
Age (years)		60.73 ± 13.78*	61.75 ± 10.65*	0.668
Sex – males		38 (67.9%)	40 (74.1%)	0.477
Time from injury		2.57 ± 2.59*	2.7 ± 3.72*	0.827
Type of stroke	Ischemic	44 (78.6%)	41 (75.9%)	0.741
	Hemorrhagic	12 (21.4%)	13 (24.1%)	
Location of injury	Subcortical	20 (35.7%)	16 (29.6%)	0.72
	Cortical	29 (51.8%)	29 (53.7%)	
	Atypical Locations	7 (12.5%)	9 (16.7%)	
Symptoms	Cognitive	21 (37.5%)	28 (51.9%)	0.132
	Motor	50 (89.3%)	40 (74.1%)	0.04
	Speech	13 (23.2%)	30 (55.6%)	0.0004
	CN	20 (35.7%)	26 (48.1%)	0.19
Comorbidities	Ataxia	17 (30.4%)	17 (31.5%)	0.9
	DM II	14 (25%)	14 (25.9%)	0.912
	HTN	37 (66.1%)	37 (68.5%)	0.787
	Dyslipidemia	37 (66.1%)	38 (70.4%)	0.632
	IHD	13 (23.2%)	15 (27.8%)	0.587
	Previous stroke	2 (3.6%)	8 (14.8%)	0.044
Medications	Smoker	10 (17.9%)	5 (9.3%)	0.19
	AA	35 (62.5%)	35 (64.8%)	0.803
	Statins	35 (62.5%)	37 (68.5%)	0.511
	DM II medications	12 (22.2%)	8 (14.3%)	0.286
	HTN medications	34 (60.7%)	40 (74.1%)	0.137

*Data are expressed as means ± standard deviation. **Significant by two-tailed paired *t*-test. Bold text marks statistical significance ($P < 0.05$). CN – cranial nerves, DM II – diabetic mellitus type 2, HTN – hypertension, AA – anti-aggregates.

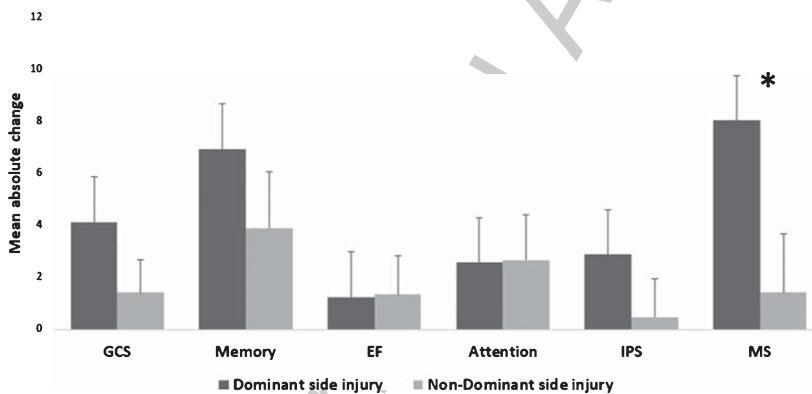


Fig. 3. Dominant/non-dominant MAC comparison of cognitive scores post-HBOT. The visual spatial and motor domains were significantly increased after HBOT at the non-dominant (i.e. left sided) stroke patients. Statistical significance ($p < 0.05$) is marked by *. Bars represent means+standard deviation. Abbreviations: MAC – mean absolute change, HBOT – hyperbaric oxygen treatment, GCS – global cognitive scale, EF – executive function, IPS – information processing speed, MS – motor skills.

390 barotrauma. One patient (0.06%) reported a mild
 391 headache during recompression. In addition, two
 392 patients with histories of known seizures prior to
 393 HBOT suffered seizures after a few sessions of
 394 HBOT. The seizures did not occur while in the hyper-
 395 baric chamber and once the patients reported about
 396 them, their anti-epileptic drugs were modified, and
 397 they resumed HBOT shortly.

4. Discussion

398
 399 In the current study, the effect of HBOT on post-
 400 stroke patients in the late chronic stages was analyzed.
 401 Even though the patients were treated after a median
 402 of 1.5 ± 3.3 years post-stroke, there were significant
 403 cognitive improvements in all the cognitive domains
 404 which were measured using objective computerized

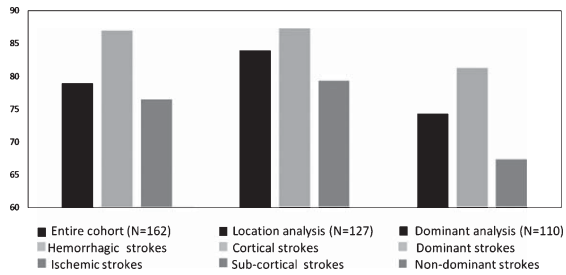


Fig. 4. Clinically significant improvement comparisons of hemorrhagic vs. ischemic, cortical vs. sub-cortical and dominant vs. non-dominant stroke patients. Scores were not significantly different in all the domains ($p > 0.05$). Bars represent percentage.

tests. Moreover, clinical significant improvements (CSI) were achieved in 86% of patients, with the most significant measurable improvements gained in the dominant hemisphere stroke patients. Low baseline memory score was the significant predictor for CSI. Hemorrhagic stroke patients had significantly higher improvement in IPS, but no other differences were found compared to ischemic strokes. There were no significant differences in HBOT effects on subcortical compared to cortical strokes. Patients with strokes located in the dominant hemisphere had significantly larger improvements in the MS domain.

In the current study, there were significant improvements in all the cognitive domains which reconfirms the previous studies that evaluated the therapeutic effect of HBOT in the chronic late stage of post-stroke patients (Boussi-Gross et al., 2015; Hadanny et al., 2015a; Emily R. Rosario et al., 2018;

Vila, Balcarce, Abiusi, Dominguez, & Pisarello, 2005). In a previous study, there were significant improvements in the neurological functions, tested by the National Institutes of Health stroke scale (NIHSS), activities of daily living (ADL) and quality of life (Efrati et al., 2013). However, cognitive domains were not reported. A later retrospective study reported significant improvements in the memory domain after HBOT. Yet, the other cognitive domains were not explored and the stroke nature was not evaluated as a possible confounder (Boussi-Gross et al., 2015). Churchill published a prospective study (Churchill et al., 2013) that included 22 patients at least one year after stroke. HBOT induced improvement in symptoms reports (51% memory, 51% attention/concentration, 48% balance/coordination, 45% endurance, 20% sleep). However, on standardized evaluations of cognition and questionnaires no significant changes were reported. Another small prospective study on seven patients showed verbal memory and executive function improvements in addition to sleep and quality of life changes (E. R. Rosario et al., 2018).

The differences between hemorrhagic and ischemic strokes were mild but evident in the high cognitive function domain (i.e. the IPS) which correlates with the usually more severe outcomes of post-hemorrhagic stroke patients and the cognitive deficits across multiple domains (Cumming et al., 2013). This domain is more sensitive than the other cognitive domains to an insult due to its integrating role on other domains and its influence on down-

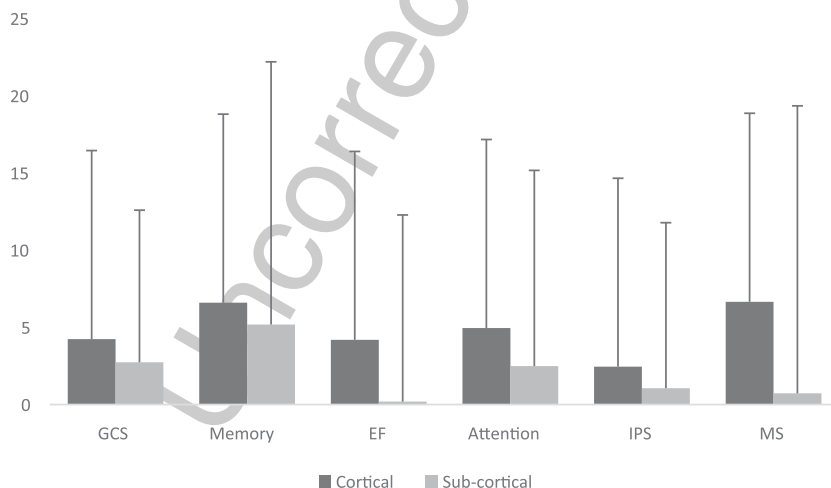


Fig. 5. Cortical/subcortical (i.e. BG) MAC comparison of cognitive scores post HBOT. Scores were not significantly different in all the domains ($p > 0.05$). Bars represent means+standard deviation. Abbreviations: MAC – mean absolute change, HBOT – hyperbaric oxygen treatment, GCS – global cognitive scale, EF – executive function, IPS – information processing speed, MS – motor skills.

stream processes, which is manifested in the domains score. Nevertheless, hemorrhagic stroke patients showed significant improvements post-HBOT and the low baseline cognitive domain score remained the major predictor for the post-HBOT domain score.

The lack of any significant differences after HBOT between cortical and subcortical strokes is surprising. Similar to our study, previous studies showed subcortical stroke patients have higher post-stroke cognitive scores compared to cortical stroke patients (Gottesman & Hillis, 2010; Kalara & Ballard, 2001). However, post-HBOT, there were no significant differences between the two types. Even though it is expected that subcortical strokes will have lower proportions of memory impairments (and conversely for the IPS domain), no such differences were seen after HBOT treatment. Our results indicate that the excess oxygen from HBOT treatments functions on all ischemic areas regardless of their anatomical area. As expected, the higher improvements in the MS domain seen in the dominant stroke patients, lies in the basic functionality of the dominant side.

The lack of any significant difference regarding HBOT's beneficial effects to the stroke's origin and location could be explained by the common pathophysiological final path of injury, i.e. ischemic/metabolic dysfunctional cells in injured non necrotic brain regions. As seen in previous studies, stroke patients may have chronic penumbra even years after the insult, which can be identified using SPECT imaging (Churchill et al., 2013; Jacobs, Winter, Alvis, & Small, 1969). Oxygenation improves energy metabolism in the border zones of focal cerebral ischemia represented by significant reduction of areas with tissue acidosis and areas with ATP depletion (Sun, Marti, & Veltkamp, 2008; Sun, Strelow, Mies, & Veltkamp, 2011). HBOT can also decrease the post ischemic inflammatory response by reducing blood-brain-barrier damage (Veltkamp et al., 2005), inflammatory cytokines release (Yu, Xue, Liang, Zhang, & Zhang, 2015) and suppresses the aggravated response of astrocytes and microgliosis (Gunther et al., 2005). Recently, it was shown HBOT mitigates the inflammatory response of the neuronal cells through the transfer of mitochondria from astrocytes (Lippert & Borlongan, 2019). HBOT reduces apoptosis which enables to preserve more brain tissues and promote neurologic functional recovery (Yin et al., 2003). Opening of mitochondrial ATP-sensitive potassium channel plays a role in this antiapoptotic effect of early hyperbaric oxygenation (Lou, Chen, Ding, Eschenfelder, & Deuschl,

2006). The intermittent hyperoxic exposure during HBOT can induce hypoxia inducible factor-1 alpha (HIF-1 α) by the so called "Hyperoxic-Hypoxic paradox" (Duan, Shao, Yu, & Ren, 2015; Milosevic et al., 2009; Poli & Veltkamp, 2009; Soejima et al., 2013). HIF-1 α is transcriptional regulator of genes involved in angiogenesis, energy metabolism, and neuronal cell proliferation induced by HBOT (Duan et al., 2015; Milosevic et al., 2009; Poli & Veltkamp, 2009; Soejima et al., 2013).

In summary, HBOT induces neuroplasticity, by two main physiological effects: increasing tissue oxygenation – the rate limiting factor for all regenerative mechanisms, and the repeated oxygen level fluctuations which increases HIF-1 α which in turn triggers the regenerative processes in the metabolically injured brain areas regardless of the stroke origin (Efrati & Ben-Jacob, 2014; Efrati et al., 2013). Therefore, the selection of stroke patients for HBOT should be based on functional imaging and baseline cognitive domain scores rather than stroke type, location or side of lesion.

The current study presents the largest cohort of post-stroke patients treated with HBOT in the late chronic stage. However, it has several limitations, which are mostly related to the fact that data was collected retrospectively. Still, the findings presented here are in agreement with previous prospective RCT's in which the neuroplasticity effects of HBOT were established [28, 37, 48]. These therapeutic effects were seen in our study in the chronic stage when patients are not expected to improve. Another study limitation is the missing data on the treatment's long-term effects. Further long-term prospective studies should be performed.

Another important limitation relates to the HBOT protocol which was inconsistent in the cohort, where several patients received 40 sessions compared to 60 sessions in most patients. Although significant neurotherapeutic effects were shown with both these protocols, the optimal protocol, which induces maximal neuroplasticity with minimal side effects, remains unknown.

5. Conclusions

HBOT was found in this largest post-stroke population to induce significant improvements in all cognitive function domains even at the late chronic stage. Patients selection for HBOT should be based on functional imaging and baseline cognitive func-

tion, regardless of stroke type and location. Further studies are needed to validate these findings for the optimal patient selection.

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