

Hyperbaric oxygen therapy and cerebral ischemia: neuroprotective mechanisms

Gerald A. Matchett*, Robert D. Martin* and John H. Zhang*^{†‡}

*Department of Anesthesiology, [†]Department of Physiology and Pharmacology and [‡]Division of Neurosurgery, Loma Linda University School of Medicine, Loma Linda, CA, USA

Introduction: Numerous studies have demonstrated a protective effect of hyperbaric oxygen therapy in experimental ischemic brain injury, and many physiological and molecular mechanisms of hyperbaric oxygen therapy-related neuroprotection have been identified.

Methods: Review of articles pertaining to hyperbaric oxygen therapy and cerebral ischemia in the National Library of Medicine and National Institutes of Health database, emphasizing mechanisms of hyperbaric oxygen therapy-related neuroprotection.

Results: Hyperbaric oxygen therapy has been shown to ameliorate brain injury in a variety of animal models including focal cerebral ischemia, global cerebral ischemia, neonatal hypoxia-ischemia and subarachnoid hemorrhage. Small human trials of hyperbaric oxygen therapy in focal ischemia have not shown benefit, although one trial of hyperbaric oxygen therapy before cardiopulmonary bypass demonstrated improved neuropsychological and inflammatory outcomes with hyperbaric oxygen therapy. Hyperbaric oxygen therapy is associated with improved cerebral oxygenation, reduced blood-brain barrier breakdown, decreased inflammation, reduced cerebral edema, decreased intracranial pressure, reduced oxidative burden, reduced metabolic derangement, decreased apoptotic cell death and increased neural regeneration.

Conclusion: On a molecular level, hyperbaric oxygen therapy leads to activation of ion channels, inhibition of hypoxia inducible factor-1 α , up-regulation of Bcl-2, inhibition of MMP-9, decreased cyclooxygenase-2 activity, decreased myeloperoxidase activity, up-regulation of superoxide dismutase and inhibition of Nogo-A (an endogenous growth-inhibitory factor). Ongoing research will continue to describe the mechanisms of hyperbaric oxygen therapy-related neuroprotection, and possibly expand hyperbaric oxygen therapy use clinically. [Neurol Res 2009; 31: 114–121]

Keywords: Hyperbaric oxygen; cerebral ischemia; neuroprotection

INTRODUCTION

Hyperbaric oxygen therapy has been identified as a potential therapy for ischemic injury to the central nervous system^{1,2}. Many studies have reported improved neurological outcomes with hyperbaric oxygen therapy in experimental animal models of ischemic brain injury including focal ischemia, global ischemia, neonatal hypoxia-ischemia and subarachnoid hemorrhage^{3–6}. Human clinical studies have generated mixed results to date, although hyperbaric oxygen therapy may be beneficial in chronic cerebral vascular disease⁷ or in the setting of cardiopulmonary bypass⁸. A small number of studies have tested hyperbaric oxygen therapy in acute focal ischemia, but these studies have not shown benefit to date⁹.

In this review, we consider publications about hyperbaric oxygen therapy and cerebral ischemia, with an emphasis on the mechanisms of hyperbaric oxygen therapy-related neuroprotection. Relevant literature was

located in the National Library of Medicine and National Institutes of Health Database (<http://www.pubmed.gov>) between 1970 and 2007 using keywords 'hyperbaric oxygen' and 'cerebral ischemia', related topic links on <http://www.pubmed.gov>, and from bibliographies of articles reviewed. In the last 15 years, there have been no fewer than 65 animal and human studies of hyperbaric oxygen therapy in cerebral ischemic injury.

Hyperbaric oxygen therapy is defined as a fraction of inspired oxygen (FiO₂) of 1 (100% oxygen) at greater than 1 atmosphere absolute (ATA)². Recent animal studies have used pressures ranging 1.5–3.5 ATA^{10–14}, and duration of treatment ranging from 1 to 3 hours^{12,13}. Frequently investigators use a range of 2.6–3.0 ATA. Individual studies may use single¹¹ or multiple¹⁴ treatment sessions. For safety and comfort of treatment subjects, gradual compression and decompression (5–15 minutes) is usually used before and after treatment¹². Hyperbaric oxygen is administered by a specialized chamber that is designed to maintain supra-atmospheric pressures. *Figure 1A* shows an example of a small animal hyperbaric oxygen chamber. The horizontal platform permits placement of a rodent cage

Correspondence and reprint requests to: John H. Zhang, MD, PhD, Department of Physiology and Pharmacology, Loma Linda University School of Medicine, Risley Hall, Loma Linda, CA 92350, USA. [johnzhang3910@yahoo.com] Accepted for publication November 2008.



Figure 1: (A) A typical bench-top small-animal hyperbaric oxygen chamber; (B) a human hyperbaric oxygen chamber. The patient lies supine during therapy, and is able to watch the television monitor on top of the unit for entertainment

within the chamber. *Figure 1B* shows an analogous hyperbaric oxygen chamber for human patients. The patient is supine during treatment, and is permitted to use a television, radio or reading materials during the hyperbaric treatment session.

Hyperbaric oxygen therapy is indicated for a variety of conditions including infection (gangrene, osteomyelitis and others), ischemia of surgically implanted tissue, poor wound healing, radiation injury, thermal burns, gas embolism and carbon monoxide poisoning¹⁵. At our institution, hyperbaric oxygen therapy is most frequently used in the care of patients with non-healing wounds or chronically infected tissues.

PHYSIOLOGICAL EFFECTS OF HYPERBARIC OXYGEN THERAPY IN THE CENTRAL NERVOUS SYSTEM

Exposure to hyperbaric oxygen leads to increased oxygen tension in the brain, modulation of cerebral blood flow and modulation of intracranial pressure. The arterial partial pressure of oxygen (P_aO_2) with hyperbaric oxygen therapy may rise as high as 2000 mmHg from a normal baseline of 80–100 mmHg¹⁶. Because of

the overwhelming increase in oxygen availability with hyperbaric conditions, oxygen partial pressure in most body compartments rises dramatically, even without a robust vascular supply. For example, brain tissue partial pressure of oxygen ($P_{Br}O_2$) may increase from a baseline from 20–40 mmHg to 420 mmHg with 2.5 ATA hyperbaric oxygen¹⁷. In some circumstances, hyperbaric oxygen therapy may support normal tissue metabolism even in the absence of an adequate supply of oxygen delivered by hemoglobin² (normally the quantity of dissolved oxygen while breathing room air is insufficient to support life in the absence of hemoglobin¹⁸, but hyperbaric oxygen therapy can potentially sustain life in a state of extreme anemia). The increase in tissue oxygen tension under hyperbaric conditions is transient and only persists as long as hyperbaric conditions are maintained¹¹.

Hyperbaric oxygen therapy has variable effects on cerebral blood flow. In healthy human and animal subjects, hyperbaric conditions cause a mild decrease in cerebral blood flow of up to 20%¹⁷. This change in cerebral blood flow may have an anatomical variance depending on region of interest¹⁷. Measurement of cerebral blood flow in experimental models of ischemia have yielded variable results. One study of permanent middle cerebral artery ischemia reported that hyperbaric oxygen therapy (3 ATA \times 120 minutes) did not alter blood flow in the ischemic penumbra¹⁹. In experimental SAH, hyperbaric oxygen therapy was reported to increase cerebral blood flow⁴. In transient global cerebral ischemia, hyperbaric oxygen therapy (2.8 ATA \times 125 minutes) caused decreased cerebral blood flow²⁰. In human patients, the changes in cerebral blood flow reported with hyperbaric oxygen therapy are also variable. In intensive care unit patients with a variety of types of brain injury, hyperbaric oxygen therapy (1.5 ATA \times 1 hour) was associated with elevated cerebral blood flow in patients with reduced pre-treatment cerebral blood flow, but reduced cerebral blood flow in patients with elevated pre-treatment cerebral blood flow²¹. Mechanisms of the effect of hyperbaric oxygen therapy on cerebral blood flow are not well understood.

Hyperbaric conditions generally appear to reduce intracranial pressure¹⁷. This has been demonstrated in experimental traumatic brain injury (hyperbaric oxygen therapy, 1.5 ATA \times 1 hour), experimental subarachnoid hemorrhage (hyperbaric oxygen therapy, 2.8 ATA \times 2 hours) and in human patients with severe brain injury^{4,21–23}. The mechanisms responsible for decreased intracranial pressure with hyperbaric oxygen therapy are not well understood.

HYPERBARIC OXYGEN THERAPY AND CEREBRAL ISCHEMIA IN ANIMAL STUDIES

Hyperbaric oxygen therapy ameliorates brain injury in experimental studies when administered as pre-ischemic therapy^{14,24–29} or post-ischemic therapy^{11–13,30–33}. Investigators have demonstrated the protective effect of hyperbaric oxygen therapy in experimental animal

models such as middle cerebral artery occlusion¹¹, neonatal hypoxia-ischemia^{32,34}, global forebrain ischemia³⁵, subarachnoid hemorrhage^{4,5} and cardiac arrest³⁶. Early exposure to hyperbaric oxygen is associated with improved outcomes in experimental middle cerebral artery occlusion³⁷. Hyperbaric oxygen therapy has been shown to be a superior neuroprotectant compared to normobaric oxygen therapy³¹ or hyperbaric air therapy³⁸. Beneficial effects of hyperbaric oxygen therapy in focal ischemia in animal studies include decreased infarction size¹¹, decreased edema¹², decreased blood-brain barrier breakdown³¹, decreased apoptotic cell death^{30,39}, improved cerebral glucose utilization³³ and improved neurobehavioral scores¹².

Outcomes from hyperbaric oxygen therapy after experimental focal ischemia depend partly on the model of focal ischemia. Hyperbaric oxygen therapy after *transient* focal ischemia is frequently beneficial¹¹⁻¹³, whereas hyperbaric oxygen therapy after *permanent* focal ischemia is frequently not protective^{30, 40-42}. Why this distinction exists between *transient* and *permanent* focal ischemia is not clear presently, although the time course of initiation of hyperbaric oxygen therapy relative to the onset of ischemia may be critically important. Studies with rapid initiation of hyperbaric oxygen therapy after the onset of *permanent* focal ischemia have shown increased benefit¹⁹.

Common therapeutic parameters for hyperbaric oxygen therapy in animal studies include absolute pressures between 2 and 3.5 ATA and duration of treatment between 1 and 3 hours^{11-13,43}. Focal ischemic stroke in humans frequently comes to attention after a delay of several hours, and much of the experimental focal ischemia research has emphasized the allowable post-ischemic delay in administration hyperbaric oxygen therapy. Hyperbaric oxygen therapy is most effective in the setting of focal ischemia if administered within the first six hours after reperfusion^{41,44}. Interestingly, post-ischemic exposure to hyperbaric oxygen may actually be harmful^{41,44}, although there is not universal agreement on this point⁴⁵. Two studies have reported better outcomes with hyperbaric oxygen therapy (3 ATA × 1 hour) within 6 hours of reperfusion after middle cerebral artery occlusion but worse outcomes if hyperbaric oxygen therapy is administered after 6 hours of reperfusion^{41,44}. One study has reported that hyperbaric oxygen therapy (2.5 ATA × 2 hours × 6 treatments) is beneficial after middle cerebral artery occlusion if delayed as long as 24 hours after reperfusion⁴⁵. In this latter study, multiple doses of hyperbaric oxygen therapy (six treatments) may have expanded the therapeutic window for treatment. This finding may be critically important for successfully translating hyperbaric oxygen therapy into clinical practice because human patients frequently come to medical attention many hours after the onset of ischemic stroke.

Hyperbaric oxygen therapy preconditioning has been effective in a variety of models of cerebral ischemia. Hyperbaric oxygen therapy (3.5 ATA × 1 hour × 5 treatments) before experimental global cerebral ischemia is protective if given within 24 but not 72 hours

before ischemia¹⁴. In neonatal rats, hyperbaric oxygen therapy preconditioning (2.5 ATA × 150 minutes × 1 treatment) is effective before hypoxia-ischemia²⁴. Hyperbaric oxygen therapy preconditioning before experimental middle cerebral artery occlusion ischemia (2.5 ATA × 1 hour × 3-5 treatments) is protective²⁸. Further study is needed to refine ideal treatment protocols for pre-treatment and to identify patients who might benefit from preconditioning.

HYPERBARIC OXYGEN THERAPY AND CEREBRAL ISCHEMIA IN HUMANS

Translation of hyperbaric oxygen therapy from experimental models to clinical practice has resulted in limited success. There have been several randomized, controlled clinical trials of hyperbaric oxygen therapy after focal cerebral infarction^{9,46,47} and other ischemic pathology^{7,8}. A study by Rusyniak *et al.* tested hyperbaric oxygen therapy (2.5 ATA × 1 hour) after focal ischemia⁹. In this randomized, prospective, controlled, double-blinded trial, patients were given hyperbaric oxygen therapy shortly after admission to the hospital after a diagnosis of acute ischemic stroke. Patients were then studied for 90 days. There was no apparent benefit of hyperbaric oxygen therapy at 24 hours on neurological testing, and surprisingly the hyperbaric oxygen therapy group fared worse on examination after 90 days. This study was well designed though had several limitations. First, the study was relatively small in size ($n=17$ for hyperbaric oxygen therapy, $n=16$ for sham treatment). Second, only a small percentage (~15%) of patients received hyperbaric oxygen therapy within the first 6 hours of the onset of symptoms. Animal studies have suggested that early hyperbaric oxygen therapy is most effective^{41, 44}, although there is a possibility that multiple doses may expand the treatment window⁴⁵. Third, the study enrolled patients who did not receive thrombolysis. Animal studies have demonstrated that hyperbaric oxygen therapy is more effective in transient ischemia than in permanent ischemia, and perhaps hyperbaric oxygen therapy would be beneficial in the post-thrombolytic patient population. However, this study generally agrees with other human trials which have failed to show benefit of hyperbaric oxygen therapy, and perhaps there are other unrecognized factors that preclude successful translation of hyperbaric oxygen therapy into clinical use for ischemic stroke^{48,49}. Additional research is needed, possibly with an emphasis on earlier (within 6 hours) administration of hyperbaric oxygen therapy.

Although human studies in focal ischemia have not shown benefit of hyperbaric oxygen therapy, other studies have demonstrated that hyperbaric oxygen therapy may be beneficial in other patterns of brain ischemia. In chronic symptomatic cerebrovascular disease, daily hyperbaric oxygen therapy may be associated with improved motor and cognitive function⁷. Hyperbaric oxygen pre-treatment (2.4 ATA × 1 hour × 3 treatment) before coronary bypass artery

grafting (CABG) is associated with improved neuropsychological performance and decreased serum markers of inflammation and stress such as E-selectin, CD18 and HSP-70⁷. CABG surgery places a patient at risk for focal ischemia or post-operative cognitive decline^{50–53}, and therefore is an attractive target for hyperbaric oxygen therapy pre-treatment studies.

HYPERBARIC OXYGEN THERAPY SIDE EFFECTS

Transient exposure to hyperbaric pressures in healthy human subjects is not associated with long-term physiological changes or brain changes on magnetic resonance imaging³⁴. However, extreme hyperbaric conditions (4.95 ATA \times 1 hour) may be associated with central nervous system oxygen toxicity⁵⁵. Lipid peroxidation and altered enzymatic anti-oxidative processes have been shown to occur at 4 ATA⁵⁶. Elevated pressures (5.0 ATA) may also increase the susceptibility to seizures⁵⁷. Mechanistically, some of these side effects may relate to alterations in nitric oxide metabolism at high pressure. Several studies have reported that hyperbaric oxygen therapy of 5 ATA is associated with up-regulation of nitric oxide synthase⁵⁷ and direct inactivation of nitric oxide⁵⁸.

Hyperbaric oxygen therapy at lower pressures (for example, 2 ATA \times 1 hour) is associated with few side effects. Lipid peroxidation is not increased by hyperbaric oxygen therapy at standard conditions. Hyperbaric oxygen therapy (2 ATA \times 1 hour) after experimental middle cerebral artery occlusion⁵⁹ or global cerebral ischemia⁶⁰ (2.8 ATA \times 125 minutes) was not associated with increased lipid peroxidation. Hyperbaric oxygen therapy (2.8 ATA \times 2 hours) after subarachnoid hemorrhage may actually be associated with decreased lipid peroxidation and oxidative stress⁵. In subarachnoid hemorrhage, decreased lipid peroxidation may relate mechanistically to decreased NADPH oxidase⁵. Significant lipid-peroxidation appears to occur with hyperbaric oxygen therapy at 4 ATA or higher³, and guidelines for hyperbaric oxygen therapy recommend maximum hyperbaric oxygen therapy exposure of no greater than 3 ATA¹⁵.

One major concern regarding the application of hyperbaric oxygen therapy to neonatal patients relates to the potential for hyperbaric oxygen therapy to cause retinopathy of prematurity⁶¹. Oxygen toxicity has long been thought to be a cause of retinopathy of prematurity, although recent work has begun to call this assumption into question⁶². A recent multicenter study of supplemental oxygen therapy in premature infants found that oxygen exposure did appear to worsen retinopathy of prematurity in premature infants who already had a diagnosis of retinopathy of prematurity, and that oxygen therapy may actually help a subgroup of infants with retinopathy of prematurity⁶². Other work suggests that the development of retinopathy of prematurity may relate more to rapid cycling of relative hypoxia and hyperoxia⁶³. Although there is still strong concern for retinopathy of prematurity with hyperbaric oxygen therapy in neonates, preliminary work in animal

models of hyperbaric oxygen therapy for neonatal hypoxia–ischemia have demonstrated significant potential benefit from hyperbaric oxygen therapy³⁴ without development of retinopathy of prematurity⁶¹.

MECHANISMS OF HYPERBARIC OXYGEN THERAPY

Experimental studies of hyperbaric oxygen therapy in cerebral ischemia have shown that hyperbaric oxygen therapy is associated decreased brain infarction¹¹, decreased edema¹² and improved neurobehavioral outcomes¹². These effects have been linked to multiple molecular mechanisms, outlined in *Figure 2*.

Increased oxygen tension in hypoxic tissue

In acute ischemic injury, hyperbaric oxygen may help sustain acutely hypoxic tissue. This may be especially true in penumbral or watershed areas after an infarction¹⁹. By increasing oxygen delivery to ischemic areas of the brain, hyperbaric oxygen therapy may improve local tissue metabolism⁶⁴. However, because of the highly transient nature of the elevated oxygen tension¹¹, it seems likely that increased oxygen tension is not the only mechanism that explains the benefit of hyperbaric oxygen therapy. In a cardiac arrest model of global cerebral ischemia, post-ischemic hyperbaric oxygen therapy (2.7 ATA \times 1 hour) was shown to lead to a correction of an elevated oxygen extraction ratio and improved neurological outcomes³⁶.

Absolute versus relative oxygen tension

One important question about the mechanism of hyperbaric oxygen therapy is whether *absolute* oxygen tension is more or less important than *relative* oxygen tension and similarly, whether the mechanisms of hyperbaric oxygen therapy and hypoxic preconditioning are distinct. Hypoxic preconditioning is neuroprotective prior to cerebral ischemia, possibly because of molecular changes induced by relative periods of hypo- and normoxia⁶⁵. Studies comparing hyperbaric preconditioning and hypoxic preconditioning have detected significant mechanistic distinctions between the two²⁴. In experimental neonatal hypoxia–ischemia, hyperbaric oxygen preconditioning suppresses mitochondrial aconitase activity, whereas hypoxic preconditioning does not (mitochondrial aconitase activity is generally regarded as a measure of oxidative stress because it is suppressed by superoxides). Additionally, manganese-superoxide dismutase (Mn-SOD) mRNA decreases with hypoxic preconditioning but not hyperbaric oxygen preconditioning in neonatal hypoxia–ischemia²⁴. These observations suggest that mechanisms of hypoxic preconditioning and hyperbaric oxygen preconditioning are distinct, although much work remains in fully describing this distinction.

Reduction of excitotoxic stress

Ischemic brain injury is associated with excitotoxic stress and physiological derangement that may further exacerbate brain injury. Hyperbaric oxygen therapy is

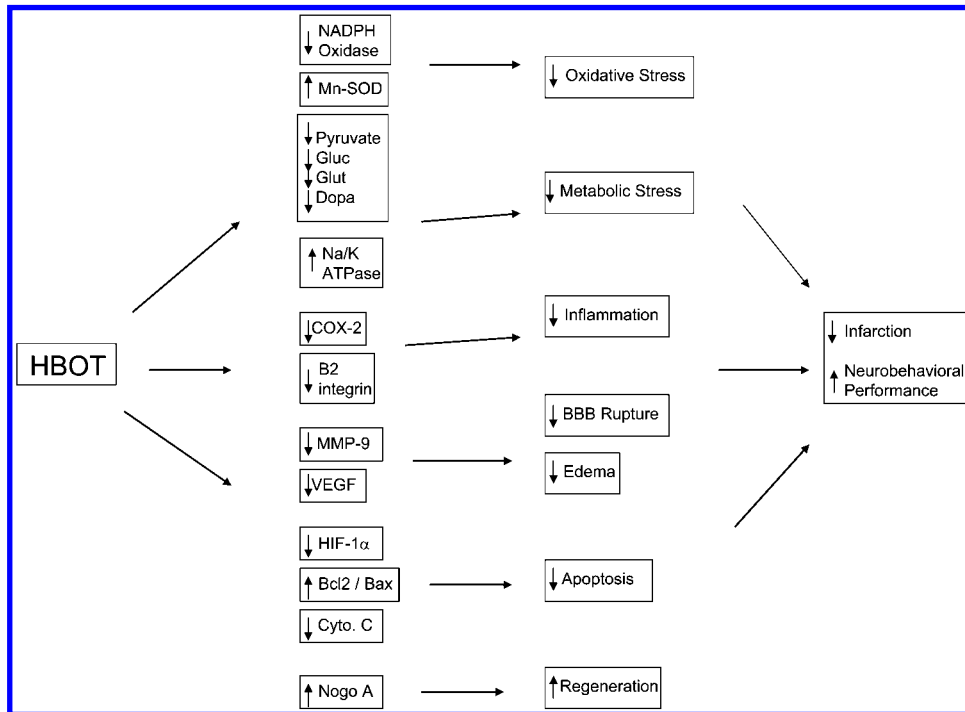


Figure 2: Mechanisms of hyperbaric oxygen therapy-related neuroprotection

associated with a normalization of extracellular homeostasis and relative protection from excitotoxic stress. Studies of hyperbaric oxygen therapy in focal ischemia have shown that hyperbaric oxygen therapy (3 ATA × 1 hour) is associated with a reduction of excitotoxic metabolites such as glucose, pyruvate, lactate and glutamate⁶⁶. Likewise, hyperbaric oxygen therapy (2.8 ATA × 1 hour) is associated with a reduction of striatal dopamine⁶⁷. In neonatal hypoxia–ischemia, hyperbaric oxygen therapy (2.5 ATA × 2 hours) has been shown to aid in preservation of ATP and other high energy phosphate compounds⁶⁸.

Activation of ion channels

Hyperbaric oxygen therapy after global cerebral hemorrhage and subarachnoid hemorrhage is associated with normalization of Na/K-ATPase activity^{69,70}. This may occur as a result of improved energy balance within the ischemic brain, although work in an experimental lung injury model has shown that hyperbaric oxygen therapy may actually up-regulate Na/K-ATPase expression⁷¹. Hyperbaric oxygen therapy may also activate mitochondrial ATP-sensitive potassium channels (mitoK_{ATP}). Activation of mitoK_{ATP} may be neuroprotective by suppressing Bax translocation and cytochrome C release from mitochondria, and thereby inhibiting apoptosis³³. MitoK_{ATP} activation also tends to hyperpolarize the cell, which helps to preserve the energy balance of the cell and limit excitotoxic stress⁷². The net result from activation of these ion channels is an inhibition of depolarization and an inhibition of apoptosis.

Stabilization of the blood–brain barrier

Hyperbaric oxygen therapy is associated with stabilization of the blood–brain barrier in ischemic pathology^{31,73,74}. In studies of laminin-5, a marker of blood–brain barrier breakdown, hyperbaric oxygen therapy (3 ATA × 1 hour), is associated with decreased levels of laminin-5 compared to normobaric oxygen after focal ischemia³¹. Decreased free laminin-5 suggests preservation of the vascular basal lamina. Hyperbaric oxygen therapy is also associated with reduced matrix metalloproteinase-9³¹. Hyperbaric oxygen therapy may inhibit the up-regulation of matrix metalloproteinase-9 after ischemia, which would likely result in less degradation of the vascular basal lamina and lower laminin-5 levels³¹. Other studies have shown that hyperbaric oxygen therapy is associated with decreased levels of vascular endothelial growth factor⁶, and decreased vascular endothelial growth factor is associated with preservation of the blood–brain barrier.

Reduction of oxidative stress

Ischemic brain injury is associated with the elaboration of free radicals and reactive oxygen species⁷⁵. Studies of oxidative stress and hyperbaric oxygen therapy have shown that hyperbaric oxygen therapy is associated with decreased lipid peroxidation in Carbon Monoxide-mediated brain injury⁷⁶ and in subarachnoid hemorrhage⁵. In middle cerebral artery occlusion, hyperbaric oxygen therapy is not associated with increased lipid peroxidation⁵⁹. Mechanistically, decreased oxidative burden with hyperbaric oxygen therapy may relate to decreased NADPH oxidase activity⁵.

Defenses against oxidative stress includes factors such as SOD, glutathione peroxidase, catalase and glutathione^{75,77}. Hyperbaric oxygen therapy is associated with maintenance of these intracellular defenses. In global cerebral ischemia, hyperbaric oxygen therapy (2 ATA × 1 hour × 7 treatments) after global cerebral ischemia in rats was associated with enhanced superoxide dismutase activity⁶⁹. Hyperbaric oxygen therapy (2.5 ATA × 150 minutes) before neonatal hypoxia-ischemia in rats leads to elevation Mn-SOD²⁴. These changes help protect against free radical damage.

Modulation of inflammation

Inflammation after ischemic stroke contributes to post-ischemic pathology⁷⁸, and hyperbaric oxygen therapy (2.8 ATA × 45 minutes) is associated with reduced inflammation after experimental middle cerebral artery occlusion²⁹. Hyperbaric oxygen therapy is associated with decreased neutrophil infiltration²⁹ and reduced myeloperoxidase activity in middle cerebral artery occlusion²⁵. In carbon monoxide-induced ischemia, hyperbaric oxygen therapy (3 ATA × 45 minutes) is associated with decreased leukocyte adherence to microvasculature⁷⁶. Hyperbaric oxygen therapy may also disrupt a specific β_2 integrin interaction that is important for inflammatory cells⁷⁶. In experimental middle cerebral artery occlusion, hyperbaric oxygen therapy (3 ATA × 1 hour) is associated with reduced cyclooxygenase-2 mRNA and protein levels (an inducible enzyme responsible for elaboration of inflammatory prostanoids, prostaglandins, prostacyclins and thromboxane)⁷⁹. In inflammatory pathology of the gastric intestine system such as Crohn's disease, hyperbaric oxygen therapy is associated with decreased inflammatory mediators such as TNF- α , IL-1 and IL-6⁸⁰.

Inhibition of apoptosis

Pharmacological inhibition of apoptosis has been an attractive target for stroke research because apoptosis is a common pathway for cell death in many types of ischemic brain injury⁸¹. Numerous studies have reported an anti-apoptotic effect of hyperbaric oxygen therapy^{12,30,33,39}. Hyperbaric oxygen therapy is associated with reduced PARP cleavage, reduced cleaved caspase 3 and reduced in DNA fragmentation characteristic of apoptotic death^{3,12,30,33,39}. In global cerebral ischemia and focal ischemia, hyperbaric oxygen therapy appears to increase the Bcl-2/Bax ratio in favor of anti-apoptotic outcomes^{12,26,27}. Hyperbaric oxygen therapy-induced activation of mitoK_{ATP} may also promote an anti-apoptotic increase in the Bcl-2/Bax ratio and an inhibition of cytochrome C release³³. Hypoxia inducible factor 1 α (HIF-1 α) is associated with apoptotic cell death after cerebral ischemia⁸², and hyperbaric oxygen therapy has been associated with an inhibition of HIF-1 α ^{6,12,32,83}. Hyperbaric oxygen therapy is also associated with lower levels of the target gene products of HIF-1 α including BNip3 and vascular endothelial growth factor⁶.

Regeneration of the central nervous system

In peripheral nerves, hyperbaric oxygen therapy stimulates regeneration after injury^{84,85}. Studies of repetitive doses of hyperbaric oxygen therapy after cerebral ischemia have shown that hyperbaric oxygen therapy may cause gliosis³⁷, and hyperbaric oxygen therapy may potentially stimulate central nervous system regeneration. Central nervous system regeneration after injury or ischemia is inhibited by endogenous factors such as Nogo-A^{86,87}. Nogo-A is up-regulated after cerebral ischemia, and this inhibits regeneration⁸⁷. Hyperbaric oxygen therapy is associated with decreased levels of Nogo-A, which may be a mechanism by which hyperbaric oxygen therapy promotes central nervous system regeneration⁸⁷.

CONCLUSION

Hyperbaric oxygen therapy has been shown to be neuroprotective in multiple models of ischemic brain injury in animal studies. Hyperbaric oxygen therapy is associated with improved cerebral oxygenation, reduced blood-brain barrier breakdown, decreased inflammation, reduced cerebral edema, decreased intracranial pressure, reduced oxidative burden, reduced metabolic derangement, decreased apoptotic cell death and increased neural regeneration. These beneficial effects of hyperbaric oxygen therapy have been linked to multiple molecular mechanisms including activation of ion channels, inhibition of HIF-1 α , up-regulation of Bcl-2, inhibition of matrix metalloproteinase-9, decreased cyclooxygenase-2 activity, decreased myeloperoxidase activity, up-regulation of SOD and inhibition of Nogo-A. Hyperbaric oxygen therapy has been used successfully for neuroprotection prior to cardiac surgery, although trials of hyperbaric oxygen therapy in focal cerebral ischemia have not shown benefit. Future research will continue to identify mechanisms of hyperbaric oxygen therapy-related neuroprotection and to expand hyperbaric oxygen therapy in humans with cerebral ischemia.

ACKNOWLEDGEMENT

This review was funded in part by NIH NS43338 and HD43120 to J. H. Zhang.

REFERENCES

- 1 Singahl AB. A review of oxygen therapy in ischemic stroke. *Neurol Res* 2007; **29**: 173–183
- 2 Gill AL, Bell CNA. Hyperbaric oxygen: Its uses, mechanisms of action and outcomes. *Q J Med* 2004; **97**: 385–395
- 3 Zhang JZ, Takkin L, Mychaskiw G, et al. Mechanisms of hyperbaric oxygen and neuroprotection in stroke. *Pathophysiology* 2005; **12**: 65–80
- 4 Ostrowski RP, Colohan AR, Zhang JH. Neuroprotective effect of hyperbaric oxygen in a rat model of subarachnoid hemorrhage. *Acta Neurochir Suppl* 2006; **96**: 188–193
- 5 Ostrowski RP, Tang J, Zhang JH. Hyperbaric oxygen suppresses NADPH oxidase in a rat subarachnoid hemorrhage model. *Stroke* 2006; **47** (5): 1314–1318
- 6 Ostrowski RP, Colohan AR, Zhang JH. Mechanisms of hyperbaric oxygen-induced neuroprotection in a rat model of subarachnoid hemorrhage. *J Cereb Blood Flow Metab* 2005; **25**: 554–571

- 7 Vila JF, Balcarce PE, Abiusi GR, et al. Improvement in motor and cognitive impairment after hyperbaric oxygen therapy in a selected group of patients with cerebrovascular disease: A prospective single-blind controlled trial. *Undersea Hyperb Med* 2005; **32**: 341–349
- 8 Alex J, Laden G, Cale ARJ, et al. Pretreatment with hyperbaric oxygen and its effect on neuropsychometric dysfunction and systemic inflammatory response after cardiopulmonary bypass: A prospective randomized double-blind trial. *J Thorac Cardiovasc Surg* 2005; **130**: 1623–1630
- 9 Rusyniak DE, Kirk MA, May JD, et al. Hyperbaric oxygen therapy in acute ischemic stroke: Results of the hyperbaric oxygen in acute ischemic stroke pilot study. *Stroke* 2003; **34**: 571–574
- 10 Daugherty WP, Levasseur JE, Sun D, et al. Effects of hyperbaric oxygen therapy on cerebral oxygenation and mitochondrial function following moderate lateral fluid-percussion injury in rats. *J Neurosurg* 2004; **101**: 499–504
- 11 Hou H, Grinberg O, Williams B, et al. The effect of oxygen therapy on brain damage and cerebral pO₂ in transient focal cerebral ischemia in the rat. *Physiol Meas* 2007; **28**: 963–976
- 12 Huang ZX, Kang ZM, Gu GJ, et al. Therapeutic effects of hyperbaric oxygen in a rat model of endothelin-1-induced focal cerebral ischemia. *Brain Res* 2007; **1153**: 204–211
- 13 Lou M, Zhang H, Wang J, et al. Hyperbaric oxygen treatment attenuated the decrease in regional glucose metabolism of rats subjected to focal cerebral ischemia: A high resolution positron emission tomography study. *Neuroscience* 2007; **146**: 555–561
- 14 Hiatra T, Cui YJ, Funakoshi T, et al. The temporal profile of genomic responses and protein synthesis in ischemic tolerance of the rat brain induced by repeated hyperbaric oxygen. *Brain Res* 2007; **1130**: 214–222
- 15 Hampson NB, Bakker DJ, Camporesi EM, et al. *Hyperbaric Oxygen Therapy Committee Statement*, Durham, NC: Undersea and Hyperbaric Medicine Society: 1999, available at: <http://www.uhms.org>
- 16 Tibbles PM, Edelsberg JS. Hyperbaric oxygen therapy. *N Engl J Med* 1996; **334**: 1642–1648
- 17 Calvert JW, Cahill J, Zhang JH. Hyperbaric oxygen and cerebral physiology. *Neurol Res* 2007; **29**: 132–141
- 18 Barash PG, Cullen BF, Stoelting RK. *Clinical Anesthesia*, 5th edn, Lippincott: Williams & Wilkins, 2006
- 19 Sunami K, Takeda Y, Hashimoto M, et al. Hyperbaric oxygen reduces infarct volume in rats by increasing oxygen supply to the ischemic periphery. *Crit Care Med* 2000; **28**: 2831–2836
- 20 Mink RB, Dutka AJ. Hyperbaric oxygen after global cerebral ischemia in rabbits reduces brain vascular permeability and blood flow. *Stroke* 1995; **26**: 2307–2312
- 21 Rockswold SB, Rockswold GL, Vargo JM, et al. Effects of hyperbaric oxygen therapy on cerebral metabolism and intracranial pressure in severely brain injured patients. *J Neurosurg* 2001; **94**: 403–411
- 22 Rogatsky GG, Kamenir Y, Mayevsky A. Effect of hyperbaric oxygenation on intracranial pressure elevation rate in rats during the early phase of severe traumatic brain injury. *Brain Res* 2005; **1047**: 131–136
- 23 Sukoff MH, Ragatz RE. Hyperbaric oxygen for the treatment of acute cerebral edema. *Neurosurgery* 1982; **10**: 29–38
- 24 Freiburger JJ, Suliman HB, Sheng H, et al. A comparison of hyperbaric oxygen versus hypoxic cerebral preconditioning in neonatal rats. *Brain Res* 2006; **1075**: 213–222
- 25 Miljkovic-Lolic M, Sillbergleit R, Fiskum G, et al. Neuroprotective effects of hyperbaric oxygen treatment in experimental focal cerebral ischemia are associated with reduced brain leukocyte myeloperoxidase activity. *Brain Res* 2003; **971**: 90–94
- 26 Wada K, Miyazawa T, Nomura N, et al. Preferential conditions for and possible mechanisms of induction of ischemic tolerance by repeated hyperbaric oxygen in gerbil hippocampus. *Neurosurgery* 2001; **49**: 166–167
- 27 Wada K, Miyazawa T, Norura N, et al. Mn-SOD and Bcl-2 expression after repeated hyperbaric oxygenation. *Acta Neurochir Suppl* 2000; **76**: 285–290
- 28 Xoing L, Zhu Z, Dong H, et al. Hyperbaric oxygen preconditioning induces neuroprotection against ischemia in transient but not permanent middle cerebral artery occlusion rat model. *Chin Med J* 2000; **113**: 836–839
- 29 Atochin DN, Fisher D, Demchenko IT, et al. Neutrophil sequestration and the effect of hyperbaric oxygen in a rat model of temporary middle cerebral artery occlusion. *Undersea Hyperb Med* 2000; **27**: 185–190
- 30 Veltkamp R, Sun L, Herrmann O, et al. Oxygen therapy in permanent brain ischemia: Potential and limitations. *Brain Res* 2006; **1107**: 185–191
- 31 Veltkamp R, Bieber K, Wagner S, et al. Hyperbaric oxygen reduces basal lamina degradation after transient focal cerebral ischemia in rats. *Brain Res* 2006; **1076**: 231–237
- 32 Calvert JW, Cahill J, Yamaguchi-Okada M, et al. Oxygen treatment after experimental hypoxia-ischemia in neonatal rats alters the expression of HIF-1 α and its downstream target genes. *J Appl Physiol* 2006; **101**: 853–865
- 33 Lou M, Chen Y, Ding M, et al. Involvement of the mitochondrial ATP-sensitive potassium channel in the neuroprotective effect of hyperbaric oxygenation after cerebral ischemia. *Brain Res Bull* 2006; **69**: 109–116
- 34 Calvert JW, Yin W, Patel M, et al. Hyperbaric oxygen prevented brain injury induced by hypoxia-ischemia in a neonatal rat model. *Brain Res* 2002; **951**: 1–8
- 35 Yang JT, Chang CN, Lee TH, et al. Hyperbaric oxygen treatment decreases post-ischemic neurotrophin-3 mRNA down-regulation in the rat hippocampus. *Neuroreport* 2001; **12**: 3589–3592
- 36 Rosenthal RE, Silbergleit R, Hof PR, et al. Hyperbaric oxygen reduces neuronal death and improves neurological outcome after canine cardiac arrest. *Stroke* 2003; **34**: 1311–1316
- 37 Gunther A, Kuppers-Tiedt L, Schneider PM, et al. Reduced infarct volume and differential effects on glial cell activation after hyperbaric oxygen treatment in rat permanent focal cerebral ischaemia. *Eur J Neurosci* 2005; **21**: 3189–3194
- 38 Cheng CF, Niu KC, Hoffer BJ, et al. Hyperbaric oxygen therapy for treatment of postischemic stroke in adult rats. *Exp Neurol* 2000; **166**: 298–306
- 39 Yin D, Changman Z, Kusaka I, et al. Inhibition of apoptosis by hyperbaric oxygen in a rat focal cerebral ischemic model. *J Cereb Blood Flow Metab* 2003; **23**: 855–864
- 40 Acka G, Sen A, Canakci Z, et al. Effect of combined therapy with hyperbaric oxygen and antioxidant on infarct volume after permanent focal cerebral ischemia. *Physiol Res* 2007; **56** (3): 369–373
- 41 Lou M, Eschenfelder CC, Herdegen T, et al. Therapeutic window for use of hyperbaric oxygenation in focal transient ischemia in rats. *Stroke* 2004; **35**: 578–583
- 42 Hjelde A, Hjelstuen M, Haraldseth O, et al. Hyperbaric oxygen and neurotrophin accumulation/tissue damage during permanent focal cerebral ischaemia in rats. *Eur J Appl Physiol* 2002; **86**: 401–405
- 43 Henninger N, Kuppers-Tiedt L, Sicard KM, et al. Neuroprotective effect of hyperbaric oxygen therapy monitored by MR-imaging after embolic stroke in rats. *Exp Neurol* 2006; **2**: 316–323
- 44 Badr AE, Yin W, Mychaskiw G, et al. Dual effect of HBO on cerebral infarction in MCAO rats. *Am J Physiol Regul Integr Comp Physiol* 2001; **280**: R766–R770
- 45 Yin D, Zhang JH. Delayed and multiple hyperbaric oxygen treatments expand therapeutic window in rat focal cerebral ischemic model. *Neurocrit Care* 2005; **2**: 206–211
- 46 Nighoghossian N, Trouillas P, Adeleine P, et al. Hyperbaric oxygen in the treatment of acute ischemic stroke: A double-blind pilot study. *Stroke* 1995; **26**: 1369–1372
- 47 Anderson DC, Bottini AG, Jagiella WM. A pilot study of hyperbaric oxygen in the treatment of human stroke. *Stroke* 1991; **22**: 1137–1142
- 48 Bennett MH, Wasiak J, Schnabel A, et al. Hyperbaric oxygen therapy for acute ischaemic stroke. *Cochrane Database Syst Rev* 2005; **3**: 1–10
- 49 Carson S, McDonagh M, Russman B, et al. Hyperbaric oxygen therapy for stroke: A systematic review of the evidence. *Clin Rehabil* 2005; **19**: 819–833
- 50 Arrowsmith JE, Grocott HP, Reves JG, et al. Central nervous system complications of cardiac surgery. *Br J Anaesth* 2000; **84**: 304–307

- 51 van Dijk D, Jansen EW, Hijman R, et al. Cognitive outcome after off-pump and on-pump coronary artery bypass graft surgery: A randomized trial. *JAMA* 2002; **287**: 1405–1412
- 52 van Dijk D, Spoor M, Hijman R, et al. Cognitive and cardiac outcomes 5 years after off-pump vs. on-pump coronary artery bypass graft surgery. *JAMA* 2007; **297**: 701–708
- 53 Gottesman RF, Wityk RJ. Brain injury from cardiac bypass procedures. *Semin Neurol* 2006; **26**: 432–439
- 54 Ors F, Sonmez G, Yildiz S, et al. Incidence of ischemic brain lesions in hyperbaric chamber inside attendants. *Adv Ther* 2006; **23**: 1009–1015.
- 55 Blenkarn GD, Schanberg SM, Saltzman HA. Cerebral amines and acute hyperbaric oxygen toxicity. *J Pharmacol Exp Ther* 1969; **166**: 346–353
- 56 Pablos ML, Reiter RJ, Chuang JI, et al. Acutely administered melatonin reduces oxidative damage in lung and brain induced by hyperbaric oxygen. *J Appl Physiol* 1997; **83**: 345–358
- 57 Chavko M, Xing G, Keyser DO. Increased sensitivity to seizures in repeated exposures to hyperbaric oxygen: Role of NOS activation. *Brain Res* 2001; **900**: 227–233
- 58 Demchenko IT, Boso AE, Bennett PB, et al. Hyperbaric oxygen reduces cerebral blood flow by inactivating nitric oxide. *Nitric Oxide* 2000; **4**: 597–608
- 59 Schabitz WR, Schade H, Heiland S, et al. Neuroprotection by hyperbaric oxygenation after experimental focal cerebral ischemia monitored by MRI. *Stroke* 2004; **35**: 1175–1179
- 60 Mink RB, Dutka AJ. Hyperbaric oxygen after global cerebral ischemia in rabbits does not promote brain lipid peroxidation. *Crit Care Med* 1995; **23**: 83–89
- 61 Calvert JW, Zhou C, Zhang JH. Transient exposure of rat pups to hyperoxia at normobaric and hyperbaric pressures does not cause retinopathy of prematurity. *Exp Neurol* 2004; **189**: 150–161
- 62 STOP-ROP Multicenter Study Group. Supplemental therapeutic oxygen for pre-threshold retinopathy of prematurity (STOP-ROP): A randomized, controlled trial. *Pediatrics* 2000; **105**: 295–310
- 63 Chow LC, Wright KW, Sola A, et al. Can changes in clinical practice decrease the incidence of severe retinopathy of prematurity in very low birth weight infants? *Pediatrics* 2003; **111**: 339–345
- 64 Nighoghossian N, Trouillas P. Hyperbaric oxygen in the treatment of acute ischemic stroke: An unsettled issue. *J Neurol Sci* 1997; **150**: 27–31
- 65 Perez-Pinzon MA. Mechanisms of neuroprotection during ischemic preconditioning: Lessons from anoxic tolerance. *Comp Biochem Physiol* 2007; **147**: 291–299
- 66 Badr AE, Yin W, Mychaskiw G, et al. Effect of hyperbaric oxygen on striatal metabolites: A microdialysis study in awake freely moving rats after MCA occlusion. *Brain Res* 2001; **916**: 85–90
- 67 Yang ZJ, Camporesi C, Yang X, et al. Hyperbaric oxygenation mitigates focal cerebral injury and reduces striatal dopamine release in a rat model of transient middle cerebral artery occlusion. *Eur J Appl Physiol* 2002; **87**: 101–107
- 68 Calvert JW, Zhang JH. Oxygen treatment restores energy status following experimental neonatal hypoxia-ischemia. *Pediatr Crit Care Med* 2007; **8**: 165–173
- 69 Mrcic-Pelcic J, Pelcic G, Vitezic D, et al. Hyperbaric oxygen treatment: The influence on the hippocampal superoxide dismutase and Na/K-ATPase activities in global cerebral ischemia-exposed rats. *Neurochem Int* 2004; **44**: 585–594
- 70 Yufu K, Itoh T, Edamatsu R, et al. Effect of hyperbaric oxygenation on the Na/K ATPase and membrane fluidity of cerebrocortical membranes after experimental subarachnoid hemorrhage. *Neurochem Res* 1993; **18**: 1033–1039
- 71 Harris ZL, Ridge KM, Gonzalez-Flecha B, et al. Hyperbaric oxygen upregulates rat lung Na/K-ATPase. *Eur Respir J* 1996; **9**: 472–477
- 72 Busija DW, Lacza A, Rajapakse N, et al. Targeting mitochondrial ATP-sensitive potassium channels – a novel approach to neuroprotection. *Brain Res Rev* 2004; **46**: 282–294
- 73 Qin Z, Karabiyikoglu M, Hua Y, et al. Hyperbaric oxygen-induced attenuation of hemorrhagic transformation after experimental focal transient cerebral ischemia. *Stroke* 2007; **38**: 1362–1367
- 74 Veltkamp R, Siebing DA, Sun L, et al. Hyperbaric oxygen reduces blood-brain barrier damage and edema after transient focal cerebral ischemia. *Stroke* 2005; **36**: 1679–1683
- 75 Nita DA, Nita V, Spulber S, et al. Oxidative damage following cerebral ischemia depends on reperfusion – a biochemical study in rat. *J Cell Mol Med* 2001; **5**: 163–170
- 76 Thom SR. Functional inhibition of leukocyte B2 integrins by hyperbaric oxygen in carbon monoxide-mediated brain injury in rats. *Toxicol Appl Pharmacol* 1993; **123**: 248–256
- 77 Warner DS, Sheng H, Batinic-Haberle I. Oxidants, antioxidants, and the ischemic brain. *J Exp Biol* 2004; **207**: 3221–3231
- 78 Feurestein G. Inflammation and stroke: Therapeutic effects of adenoviral expression of secretory leukocyte protease Inhibitor. *Front Biosci* 2006; **11**: 750–757
- 79 Yin W, Badr AE, Mychaskiw G, et al. Down-regulation of COX-2 is involved in hyperbaric oxygen treatment in a rat transient focal cerebral ischemia model. *Brain Res* 2002; **926**: 2072–2080
- 80 Weisz G, Lavy A, Adir Y, et al. Modification of *in vivo* and *in vitro* TNF-alpha, IL-1 and IL-6 secretion by circulating monocytes during hyperbaric oxygen treatment in patients with perianal Crohn's disease. *Eur Surg Res* 1997; **31**: 147–154
- 81 Mattson MP, Culmsee C, Yu ZF. Apoptotic and antiapoptotic mechanisms in stroke. *Cell Tissue Res* 2000; **301**: 173–187
- 82 Helton R, Cui J, Scheel JR, et al. Brain-specific knock-out of hypoxia-inducible factor-1a reduces rather than increases hypoxic-ischemic damage. *J Neurosci* 2005; **25**: 4099–4107
- 83 Li Y, Zhou C, Calvert JW, et al. Multiple effects of HBO on HIF-1alpha expression and apoptotic genes in a global ischemia rat model. *Exp Neurol* 2005; **191**: 198–210
- 84 Sanchez EC. Hyperbaric oxygenation in peripheral nerve repair and regeneration. *Neurol Res* 2007; **29**: 184–198
- 85 Eguiluz-Ordóñez R, Sanchez CE, Venegas A, et al. Effects of hyperbaric oxygen on peripheral nerves. *Plast Reconstr Surg* 2006; **118** (2): 350–357
- 86 Weinmann O, Schnell L, Ghosh A, et al. Intrathecally infused antibodies against Nogo-A penetrate the CNS and down regulate the endogenous neurite growth inhibitor Nogo-A. *Mol Cell Neurosci* 2006; **32**: 161–173
- 87 Zhou C, Li Y, Nada A, et al. HBO suppresses Nogo-A, Ng-R, or RhoA expression in the cerebral cortex after global ischemia. *Biochem Biophys Res Commun* 2003; **309**: 368–376